

**BUNTS SANGHA'S
S.M. SHETTY COLLEGE OF SCIENCE, COMMERCE AND MANAGEMENT
STUDIES, POWAL.
NAAC ACCREDITED 'A' GRADE
ISO 9001:2015 CERTIFIED
IMC RBNQ CERTIFICATE OF MERIT 2019**

ACTIVITY APPROVAL FORM

Department: COMMERCE AND MANAGEMENT STUDIES

Program: CERTIFICATE COURSE ON FINANCIAL MARKET

Objectives: To make participants understand basics of financial market and give some practical exposure of the same

Need: BCOM students are not having any subject related to Financial Market in their curriculum and for other stream students it's a value-added course

Content: Financial Market - Basics, Primary and secondary market, Derivatives and financial statement analysis

Resource Person: MR. Jay Palshetkar Director – Global Investments and Mr. Santosh Jadhav

Date: 08/07/2019 to 23/07/2019 Time: 12 pm to 2 pm

Venue: AV room (608)

Cost/Budget: 3,350/- per student

Proposed by: Ms. Sahana Raviprasad – In charge – Research Cell



Verified by: Dr. Sridhara Shetty – Principal -



**S.M.SHETTY COLLEGE OF SCIENCE, COMMERCE AND
MANAGEMENT STUDIES**
(Affiliated to University of Mumbai)
Accredited by NAAC –“A” Grade
ISO 9001 :02015 CERTIFIED

Date: 18th June, 2019

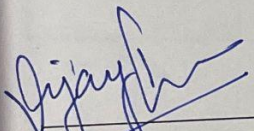
NOTICE

All students are hereby informed that **Department of Commerce** in collaboration with **National Stock Exchange** is going to conduct **NCFM Certificate course** (NSE Certification in Financial Market).

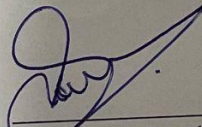
This course will enable the students to enhance their career in Financial Market.
This certificate will help in creating an edge in your RESUME.

Interested students can register themselves with,

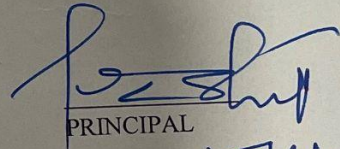
Prof. Sahana Raviprasad
Prof. Vijay Vishwakarma



Workshop convener
Prof. Vijay Vishwakarma

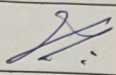
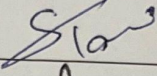

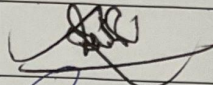
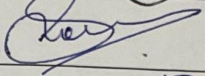
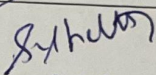
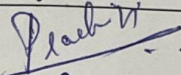

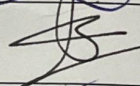



BAP & BBI Coordinator
Prof. Sahana Raviprasad



PRINCIPAL

18/6/2019

Sr.No	Class	Signature
1	SYBCOM A	
2	SYBCOM B	
3	TYBCOM A	
4	TYBCOM B	
5	SYBBI	
6	TYBBI	
7	SYBAF	
8	TYBAF	
9	SYBMS FINANCE	 19/6/19
10	TYBMS FINANCE	

Bunts Sangha's

S. M. Shetty College of Science, Commerce and Management Studies, Powai

Date: 03/07/2019

To,
The Principal
S. M. Shetty College of Science,
Commerce and Management Studies, Powai.

**Sub: Collection of fees for Short Term Certificate Course on Financial Market - NCFM
under NSE**

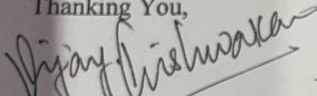
Dear Sir,

The department of Commerce and Allied Subjects is going to start a Short Term Certificate Course on Financial Market – NCFM under NSE to be conducted by Mr. Jay Palshetkar Director – Global Investments during the month of July.

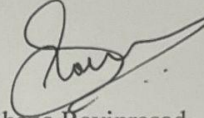
The above programme will be providing detailed knowledge on basics of Financial Market and it's practicality to the students and after clearing off the online examination conducted by NSE, they will receive Certificate from NSE. The coaching Fees and the Certification fees will be Rs. 3,350. The duration of the course will be 10-12 days.

We require your permission to collect the fees from the students who will be taking up the course.

Thanking You,

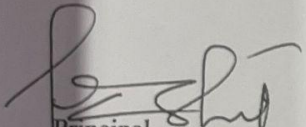

Vijay Vishwakarma

Course Convener



Sahana Raviprasad

Coordinator (BAF & BBI)


Principal
03/7/2019

Treasurer

Secretary

Vice Chairman

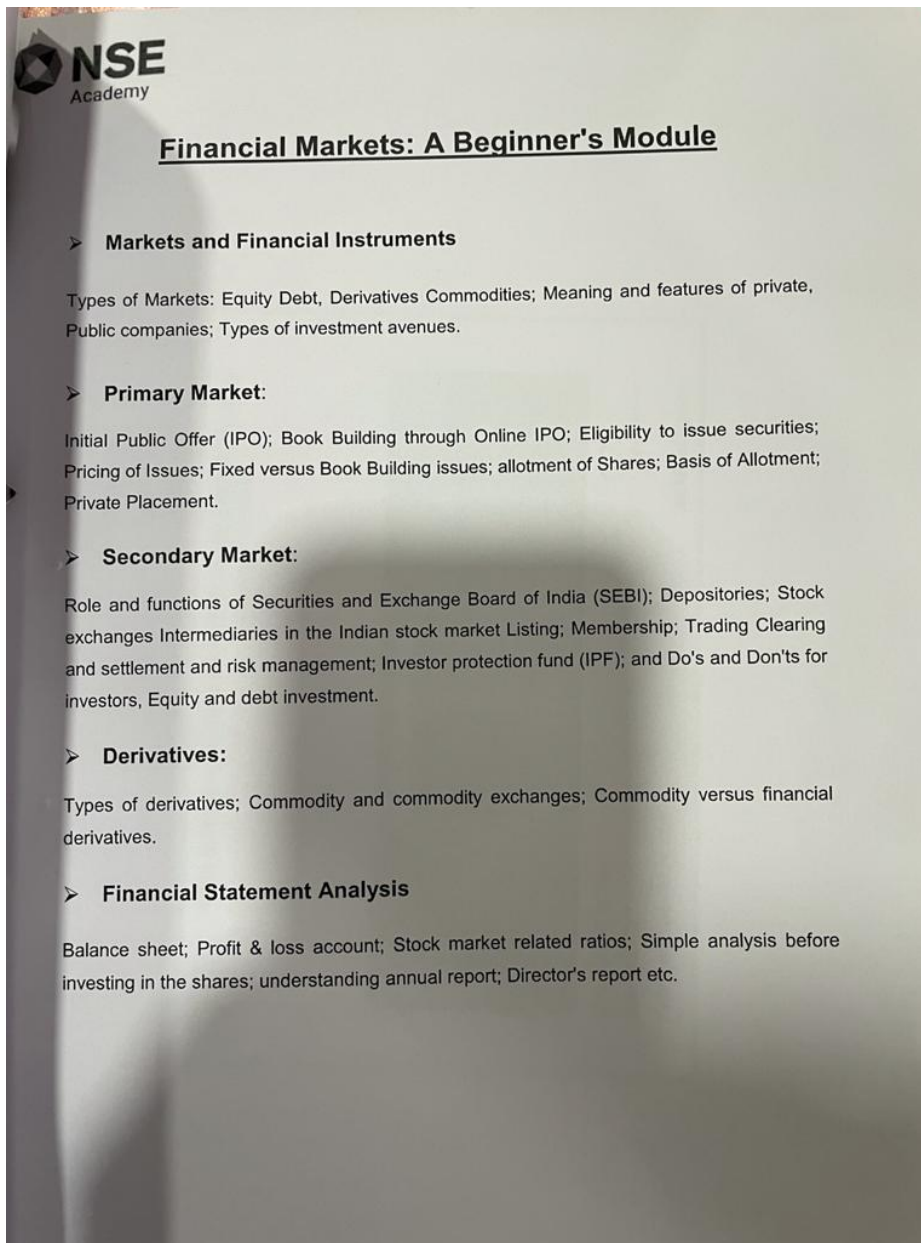
Vice Chairman

Chairman

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Department of Commerce and Management Studies

COURSE CONTENT



NSE Academy

Financial Markets: A Beginner's Module

- **Markets and Financial Instruments**
Types of Markets: Equity Debt, Derivatives Commodities; Meaning and features of private, Public companies; Types of investment avenues.
- **Primary Market:**
Initial Public Offer (IPO); Book Building through Online IPO; Eligibility to issue securities; Pricing of Issues; Fixed versus Book Building issues; allotment of Shares; Basis of Allotment; Private Placement.
- **Secondary Market:**
Role and functions of Securities and Exchange Board of India (SEBI); Depositories; Stock exchanges Intermediaries in the Indian stock market Listing; Membership; Trading Clearing and settlement and risk management; Investor protection fund (IPF); and Do's and Don'ts for investors, Equity and debt investment.
- **Derivatives:**
Types of derivatives; Commodity and commodity exchanges; Commodity versus financial derivatives.
- **Financial Statement Analysis**
Balance sheet; Profit & loss account; Stock market related ratios; Simple analysis before investing in the shares; understanding annual report; Director's report etc.

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Department of Commerce and Management Studies

REPORT

Event: Certificate course on Financial Markets

Time: 12 PM to 1 PM

Venue: AV room (608)

Objective: To make participants understand basics of financial market and give some practical exposure of the same

Highlights- Department of Commerce in collaboration with National Stock Exchange (NSE) had conducted 30 hours Short Term Certificate Course on Financial Market (NCFM) from 8th July to 23rd July, 2019. The course was conducted by MR. Jay Palshetkar Director – Global Investments and Mr. Santosh Jadhav. Total 71 students from BCOM, BAF, BBI and BMS attended this course. All 71 Students successfully passed the exam conducted by NSE. The cost of the course was Rs. 3,350 per student.



Course Convener

Vijay Vishwakarma



Coordinator BAF & BBI

Sahana Raviprasad





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Department of Commerce and Management Studies

LIST OF PARTICIPANTS

SR NO.	CLASS	NAME OF THE STUDENT
1	TYBBI	Sanket Solanki
2	TYBBI	Prajapati Gharade
3	TYBBI	Gauri Karpe
4	TYBBI	Sanjana Mistry
5	TYBBI	Kunal Gothaskar
6	TYBBI	Eva Amanna
7	SYBAF	Swarali Gawand
8	SYBAF	Kartik Devadiga
9	SYBAF	Rishit Shetty
10	SYBAF	Mrugakshi Raut
11	SYBAF	Supritha Suvarna
12	SYBAF	SONICA Shetty
13	SYBAF	Sriram Shetty
14	SYBAF	Sumith Dubey
15	SYBAF	Apurva Kadam
16	SYBAF	Pratheeksha shetty
17	SYBAF	Siddharth Chheda
18	TYBMS	Akshit Michael
19	SYBCOM A	Vaishnavi Nirmale
20	SYBCOM A	Nikita Pagare
21	SYBCOM A	Uma Nadar
22	SYBCOM A	Jyothi Moolya
23	SYBCOM A	Zareen Khan

24	SYBCOM A	Mrunmayi Rane
25	SYBCOM A	Brijesh Shetty
26	SYBCOM A	Aditya Mahadik
27	SYBCOM A	Muskan Upadhyay
28	SYBCOM A	Ullan Fernandes
29	SYBCOM A	Priti Gurav
30	SYBCOM A	Chaudhary Shahana
31	SYBCOM A	Mrinalini Srikanth
32	SYBCOM A	Mayuri Anchan
33	SYBCOM B	Shonit Shetty
34	SYBCOM B	Priti Tiwari
35	SYBCOM B	Komal Toraskar
36	SYBCOM B	Riya Singh
37	SYBCOM B	Tiwari Priti
38	SYBCOM B	Yadav Anju
39	SYBCOM B	Sawbagya Rajan
40	SYBCOM B	Shreeraksha Shetty
41	SYBCOM B	Sunny Dias
42	SYBCOM B	Riichard Jaison
43	SYBCOM B	Pranav Singh
44	SYBCOM B	Sana Shetty
45	SYBCOM B	Preet Kaur Sethi
46	SYBCOM B	Avneet Shetty
47	SYBCOM B	Surabhi Nair
48	SYBCOM B	Durafsha Shaikh
49	SYBCOM B	Bhakti Vengurlekar
50	SYBCOM B	Chirag Shroff
51	SYBCOM B	Sweta Nair
52	SYBCOM B	Abhishek Gupta
53	SYBCOM B	Akshay Uchil
54	SYBCOM B	Mahima Singh
55	SYBCOM B	Prem Gala
56	SYBCOM B	Kartikh Salian

57	TYBAF	Siddhi Shinde
58	TYBAF	Arden Lobo
59	TYBAF	Darshit Shetty
60	TYBAF	Sajeesh Nair
61	SYBMS	Puru Gokhale
62	SYBMS	Santosh Jadhav
63	SYBMS	Sharan Shetty
64	SYBMS	Sahil Shahani
65	SYBMS	Jitin Nair
66	SYBMS	Sayli Kavle
67	SYBMS	Aniket Panji
68	SYBMS	Chrishia Naidu
69	SYBMS	Yash Gajra
70	SYBMS	Likhit Shriya
71	TYBCOM	TANMAY

Bunts Sangha's, S M Shetty college of science, commerce and management studies, Powai.

NCFM CERTIFICATION IN COLLABORATION WITHIN NSE 2019-2020

NCFM Registration List		Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Date	Remark
1	TYBBI	Sanket Solanki	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
2	Pragati	Prajapati Gharade	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
3		Gauri Karpe	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
4		Sanjana Mistry	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
5		Kunal Gothaskar	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
6		Eva Amanna	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
7	SYBAF	Swarali Gawand	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
8		Kartik Devadiga	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
9		Rishit Shetty	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
10		Mrugakshi Raut	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
11		Supriya Suvarna	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
12		SONICA Shetty	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
13		Sriram Shetty	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
14		Sumith Dubey	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
15		Apurva Kadam	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
16		Pratheeksha shetty	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
17		Siddharth Chheda	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
18	TYBMS	Akshit Michael	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
19	SYBCOM A	Vaishnavi Nirmale	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
20		Nikita Pagare	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
21		Uma Nadar	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
22		Jyothi Moolya	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
23		Zareen Khan	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
24		Mrunmayi Rane	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
25		Brijesh Shetty	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
26		Aditya Mahadik	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
27		Muskan Upadhyay	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
28		Ullan Fernandes	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	
29		Priti Gurav	9-7-19	10/7/19	11/7/19	12/7/19	15/7/19	19/7/19	20/7/19	21/7/19	22/7/19	23/7/19	24/7/19	25/7/19	26/7/19	27/7/19	28/7/19	29/7/19	

Attendance sheet

12/07/2019 to 29/07/2019

30	Chaudhary Shahana	X	M. Singh	M. Singh	P		
31	Minalini Srikanth	X	M. Singh	M. Singh	P		
32	Mayuri Anchan	X	M. Singh	M. Singh	P		
33	SYBCOM B						
34	Shonit Shetty		Pareethi Pareethi	Pareethi Pareethi	P		
35	Priti Tiwari		Komal	Pareethi Pareethi	P		
36	Komal Toraskar		Riya	Pareethi Pareethi	P		
37	Riya Singh		Tiwari	Pareethi Pareethi	P		
38	Tiwari Priti		Yadav	Pareethi Pareethi	P		
39	Yadav Anju		Sawbagya	Pareethi Pareethi	P		
40	Sawbagya Rajan		Shreeraksha	Pareethi Pareethi	P		
41	Shreeraksha Shetty		Sunny	Pareethi Pareethi	P		
42	SUNYD		Sunny	Pareethi Pareethi	P		
43	Richard Jaison		Pranav	Pareethi Pareethi	P		
44	Pranav Singh		Sana	Pareethi Pareethi	P		
45	Sana Shetty		Preet	Pareethi Pareethi	P		
46	Preet Kaur Sethi		Avneet	Pareethi Pareethi	P		
47	Avneet Shetty		Surabhi	Pareethi Pareethi	P		
48	Surabhi Nair		Durafsha	Pareethi Pareethi	P		
49	Durafsha Shaikh		Bhakti	Pareethi Pareethi	P		
50	Bhakti Vengurlekar		Chirag	Pareethi Pareethi	P		
51	Chirag Shroff		Sweta	Pareethi Pareethi	P		
52	Sweta Nair		Abhishek	Pareethi Pareethi	P		
53	Abhishek Gupta		Akshay	Pareethi Pareethi	P		
54	Akshay Uchil		Mahima	Pareethi Pareethi	P		
55	Mahima Singh		Prem	Pareethi Pareethi	P		
56	Prem Gala		Kartik	Pareethi Pareethi	P		
57	TYBAF		Siddhi	Pareethi Pareethi	P		
58	Kartikh Sallian		Siddhi	Pareethi Pareethi	P		
59	Siddhi Shinde		Arden	Pareethi Pareethi	P		
60	Arden Lobo		Darshit	Pareethi Pareethi	P		
61	Darshit Shetty		Sajeesh	Pareethi Pareethi	P		
62	Sajeesh Nair		Puru	Pareethi Pareethi	P		
63	Puru Gokhale		Santosh	Pareethi Pareethi	P		
64	Santosh Jadhav		Sharan	Pareethi Pareethi	P		
65	Sharan Shetty			Pareethi Pareethi	P		

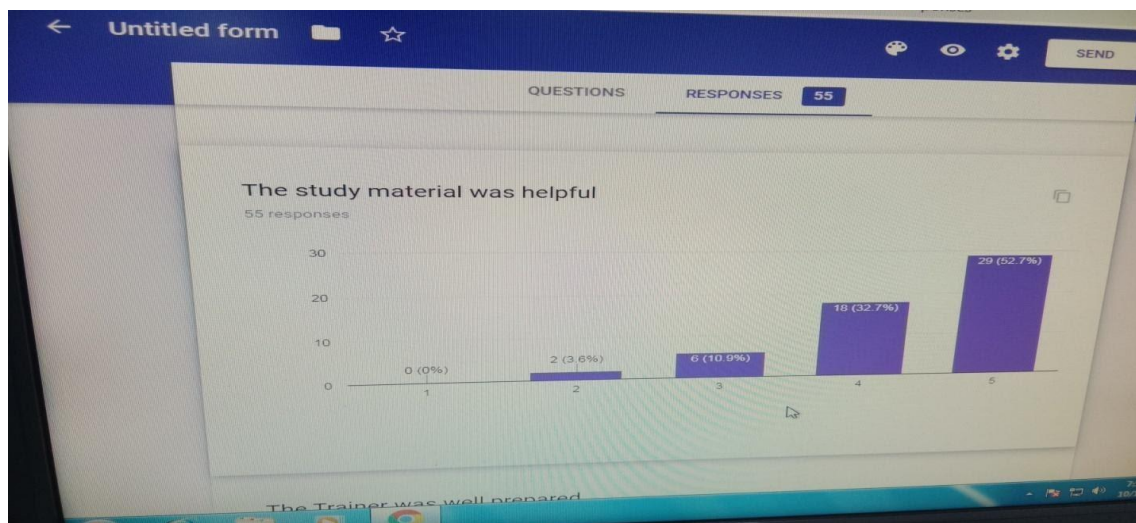
64															
65	Sahil Shahani	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
66	Jitin Nair	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
67	Sayli Kavle	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
68	Aniket Panji	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
69	Chrishia Naidu	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
70	Yash Gajra	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
	Likhith Shriya	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS	SMS		P
71	TYBCOM X TANMAY VEERKAR X														P

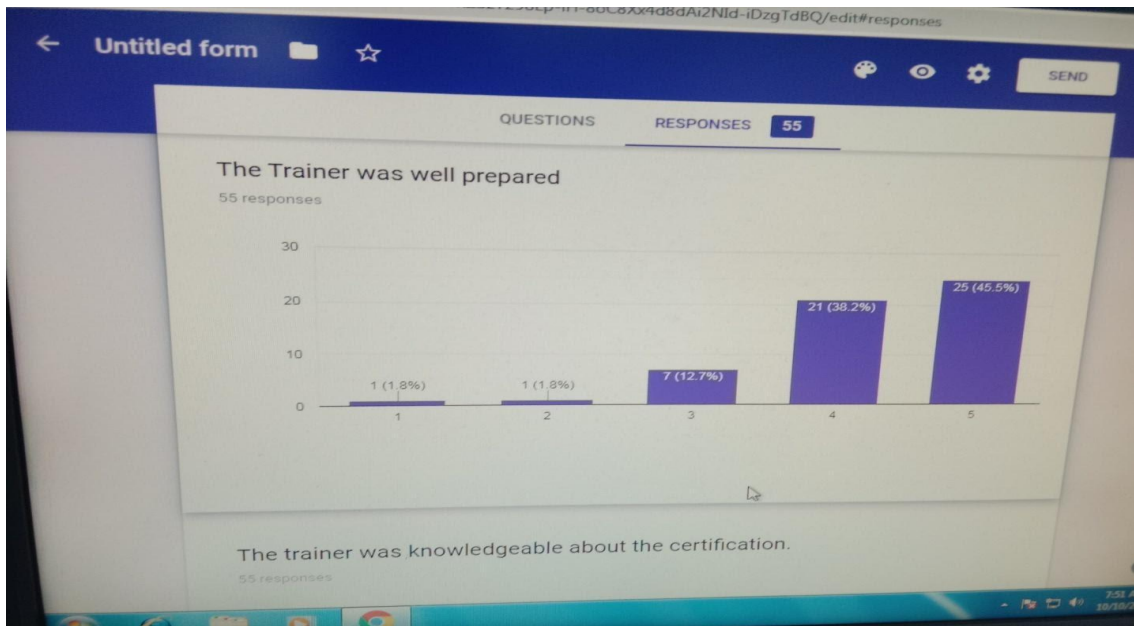
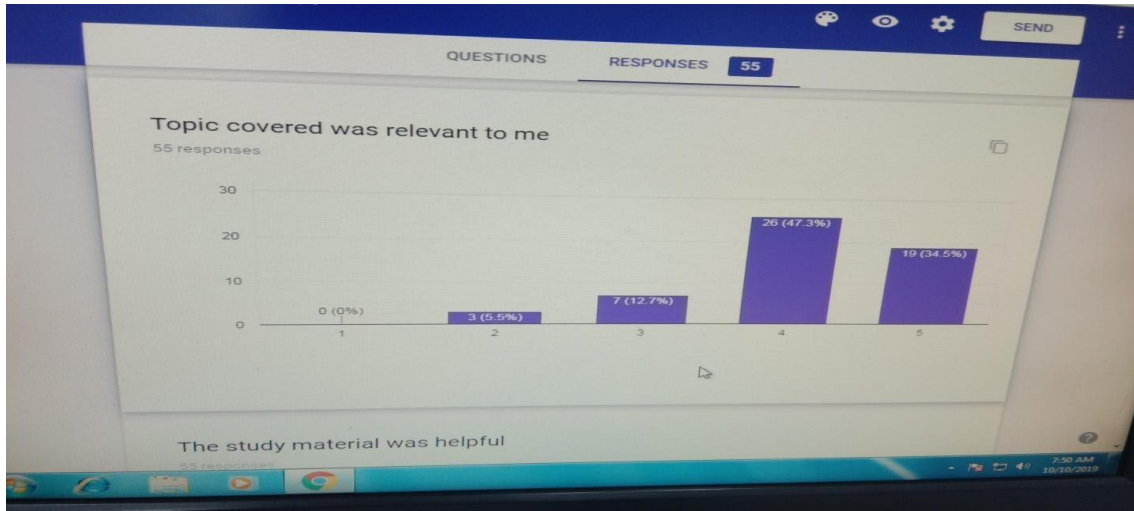
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FEEDBACK ANALYSIS





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ACTION TAKEN REPORT

Action taken by Department of Commerce and Management Studies for the feedback provided on Certificate course in Financial Markets.

Date	Feedback Received	Action Taken
8 th July,2019 to 23 rd July,2019	<ul style="list-style-type: none">● Participants found the session very relevant and helpful.● Information regarding Financial market was very informative and precisely explained.● Participants appreciated the efforts taken by the college to conduct this event.	Department of Commerce and Management Studies will conduct such events in future.



Course Convenor



Principal

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CERTIFICATE COPY



*NSE's CERTIFICATION IN FINANCIAL MARKETS (NCFM)
Financial Markets: A Beginners' Module*

*This is to certify that [redacted] Registration Number
NCFM-00000645545, has successfully completed the Financial Markets: A
Beginners' Module of the NCFM. The details of the test taken by him are as
follows:*

<i>Test Date</i>	<i>Test Centre</i>	<i>Marks Obtained (%)</i>	<i>Remarks</i>
<i>November 29, 2014</i>	<i>Bangalore - NSEIT</i>	<i>94.0</i>	<i>Pass</i>

Date: January 20, 2016

Place: Mumbai

Valid upto: November 29, 2019

Authorised Signatory



NSE ACADEMY'S CERTIFICATION IN FINANCIAL MARKETS (NCFM) Financial Markets - A Beginners' Module

This is to certify that



Ms. GAURI VILAS KARPE

Registration Number NCFM-00001336862, has successfully completed the
Financial Markets - A Beginners' Module of the NCFM.

The details of the test taken by her are as follows:

Test Date	Test Centre	Marks Obtained (%)	Remarks
July 24, 2019	N G ACHARYA AND D K MARATHE COLLEGE-CHEMBUR	86.0	Pass

Date : July 27, 2019

Place : Mumbai

Valid upto : July 24, 2024

Authorised Signatory

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